



Florida Department of Transportation's

Prequalification System

1st International Conference on
Transportation Construction Management

February 11, 2009





FDOT Prequalification Process

Authority:

Florida Statutes

Florida Administrative Code



The slide features a decorative background with several interlocking gears in shades of gray. A vertical green bar is on the left side, and a horizontal red line is near the top. The main content is on a light gray rectangular area.

FDOT Prequalification Process

Florida Statutes:

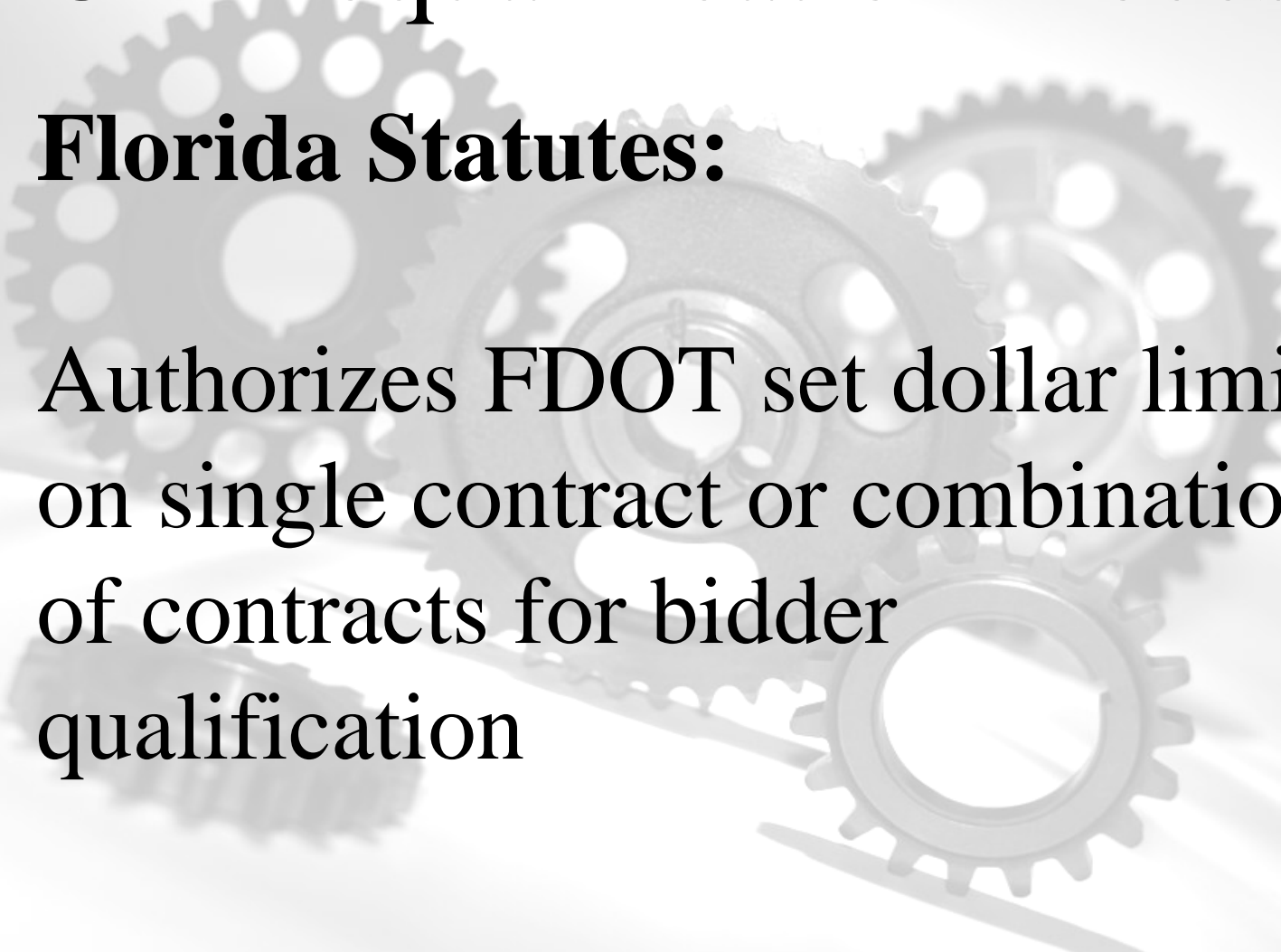
337.14 F.S. states requirements for FDOT to develop rules for qualification process



FDOT Prequalification Process

Florida Statutes:

Authorizes FDOT set dollar limits on single contract or combination of contracts for bidder qualification





FDOT Prequalification Process

Florida Administrative Code:

Rule 14-22 developed by FDOT
governing qualification process





FDOT Prequalification Process

Florida Administrative Code:

Rule contains requirements for –
equipment, past record, experience,
financial resources and personnel

Used to develop Ability Score



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Florida Administrative Code:

Primarily, past record requirement
of rule determined by FDOT
Contractor Past Performance
Reporting System



FDOT Prequalification Process

Contractor's Past Performance Record (CPPR):

Method by which FDOT evaluates performance of contractors on projects



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Contractor's Past Performance Record (CPPR):

Grades Work Pursuit, Timeliness,
Coordination & Cooperation,
Conformance with Contract,
MOT, Environmental Compliance



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Current CPPR Scores:

Maximum Score Available = 110

Statewide Average = 94.6

Range of Scores = 26 to 110

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CONTRACTOR'S PAST PERFORMANCE RATING

Date of the Report:		Original Contract Days:	
FIN ID:		Days Granted:	
Contract Number:		Weather days:	
Contractor:		Allowable Contract Days:	0
Job Description:		Days Used:	0
Project Administrator:		Deficiency Ltr. Factor (DLF):	1.0

Contractor Performance Rating:	#DIV/0!	Interim		Final	
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CATEGORY 1 - Pursuit of the Work	Score (max. of 12):	#DIV/0!
	Pursuit of Work	#DIV/0!

Period	Work Days	Pursued Days	Remarks



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Contractor's Past Performance Record (CPPR):

By Rule, these CPPR scores are used in determining the Ability Score for the Contractor

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Ability Factor (derived from CPPR):

Ability Score	AF
64 or less	1
65 – 69	2
70 – 73	3
74 – 76	4
77 – 79	5
80 – 84	8
85 – 89	10
90 – 93	12
94 – 97	14
98 – 100	15

The background features a light gray field with a faint, large-scale gear pattern. A dark red horizontal line spans the width of the slide, with a teal rectangular block on the left side. Below the line, a light gray rectangular area contains the text.

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Maximum Capacity Rating is the total aggregate dollar amount of uncompleted work an applicant may have under contract at any one time as a prime contractor or subcontractor, regardless of with whom contracted

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Florida Administrative Code:

Maximum Capacity Rating

$$\text{MCR} = \text{AF} \times \text{CRF} \times \text{ANW}$$

AF = Ability Factor

CRF = Current Ratio Factor (current assets ÷ current liabilities)

ANW = Adjusted Net Worth

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Average Past Performance Scores	Ability Factor	x	Current Ratio Factor	x	ANW (in \$M)	=	MCR (in \$M)
Large Company - ANW of \$100 million or more:							
98 to 100	15		1.3		\$334.10		\$6,515
74 to 76	4		1.3		\$334.10		\$1,737
64 or less	1		1.3		\$334.10		\$434
Medium Company - ANW between \$20M and \$99.9M:							
98 to 100	15		1.3		\$52.70		\$1,028
74 to 76	4		1.3		\$52.70		\$274
64 or less	1		1.3		\$52.70		\$69
Small Company - ANW of less than \$20 million:							
98 to 100	15		1.3		\$1.50		\$29
74 to 76	4		1.3		\$1.50		\$8
64 or less	1		1.3		\$1.50		\$2



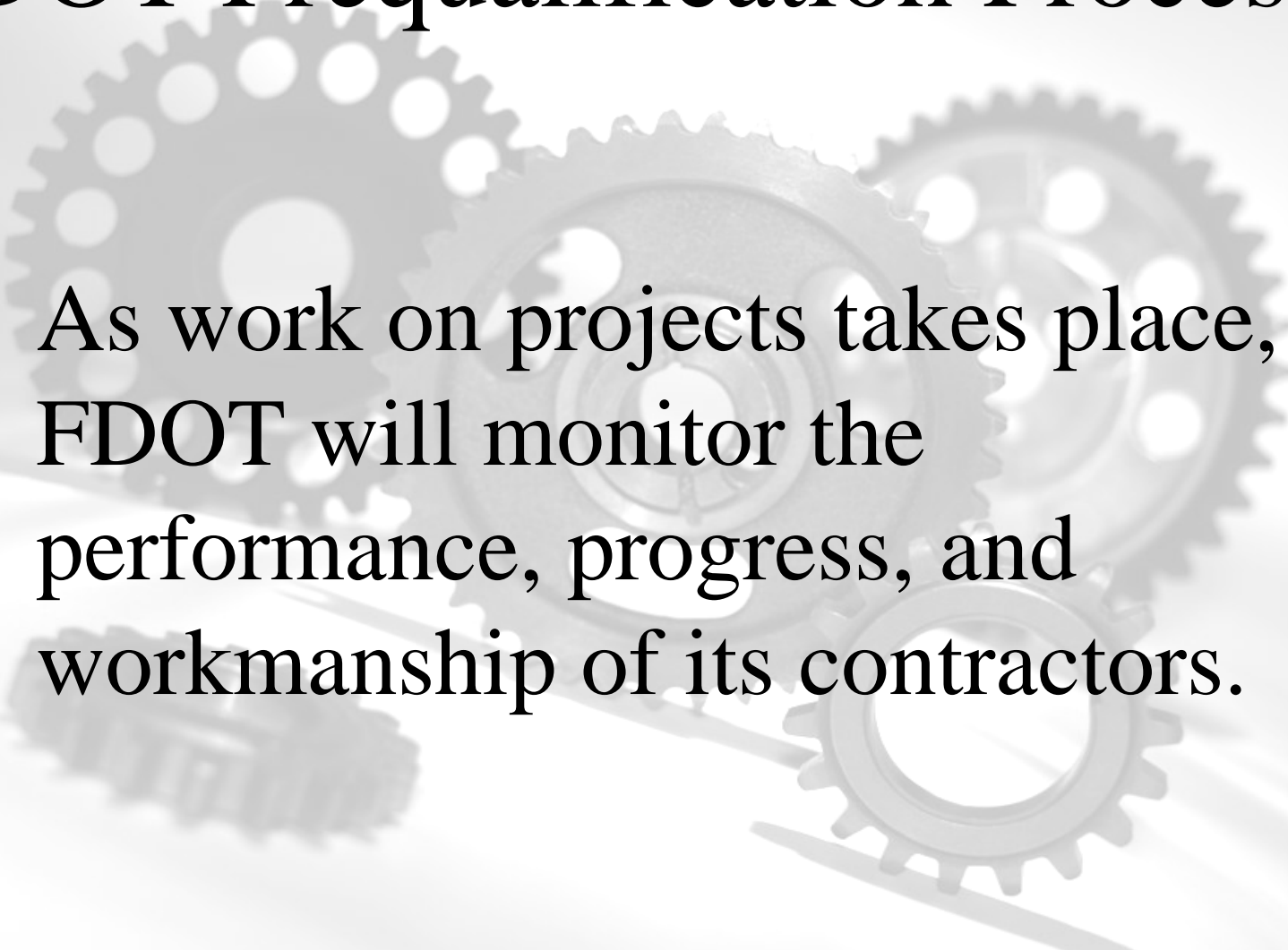
FDOT Prequalification Process

Through this process, FDOT will issue Certificates of Qualification to contractors.

Presently, FDOT has over 500 contractors prequalified to do construction work on its projects.



FDOT Prequalification Process



As work on projects takes place, FDOT will monitor the performance, progress, and workmanship of its contractors.

FDOT Prequalification Process

**When all goes well,
performance is as expected....**



Slide 19

c2

add video clip of gears in motion

d sadler, 1/5/2007

FDOT Prequalification Process

Occasionally, this happens....



Slide 20

c5

insert video of damage to gears.

d sadler, 1/5/2007



FDOT Prequalification Process

**When things don't work well,
Possible Agency Actions:**

Letters of Concern

Restricted Bid Capacity

Time off bidders list

Revocation of Qualification



FDOT Prequalification Process

Letters of Concern:

Outline specific issues FDOT has with contractor's performance of the project(s)

Generally leads to meeting



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Letters of Concern (triggers):

CPPR scores (two or more < 76);
workmanship, cooperation with
Department, environmental
issues, poor MOT

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Restricted Bid Capacity:

FDOT will limit the amount of work a Contractor is able to bid based on past record of performance

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Restricted Bid Capacity (triggers):

Same as with Letters of Concern plus projects in LD's, quality concerns, problems with specific types of work

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Time off Bidders list:

Based on seriousness of concerns,
FDOT will remove bidder from
Qualification list

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Time off Bidders list (triggers):

Same as with previous actions,
depends on responses from
Contractor and improvements
made



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Revocation of Qualification:

When concerns are great, FDOT will revoke, deny, or suspend a Contractor's qualification



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Revocation of Qualification (triggers):

Usually result of being defaulted
or major performance concerns

Declaration of Non-Responsibility

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The web links are to information related to FDOT qualification and grading:

<http://formserver.dot.state.fl.us/MiscRepository/forms/70001025.pdf>

Contractor Past Performance Rating
Form

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<https://www.flrules.org/gateway/ChapterHome.asp?Chapter=14-22>

Florida Administrative Code – Chapter
14-22

FDOT Prequalification Process

http://www.flsenate.gov/Statutes/index.cfm?App_mode=Display_Statute&Search_String=&URL=Ch0337/SEC14.HTM&Title=->2006->Ch0337->Section%2014#0337.14

Florida Statute governing process



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Questions??



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